



PILLSBURY WINTHROP LLP

RECEIVED
CENTRAL FAX CENTER

MAY 26 2004

725 SOUTH FIGUEROA STREET SUITE 2800 LOS ANGELES, CA 90017-5406 213.488.7100 F: 213.629.1033

OFFICIAL

FACSIMILE

Total Pages (including cover): 7

HOUSTON
LONDON
LOS ANGELES
NEW YORK
NORTHERN VIRGINIA
ORANGE COUNTY
SACRAMENTO
SAN DIEGO
SAN FRANCISCO
SILICON VALLEY
SINGAPORE
STAMFORD
SYDNEY
TOKYO
WASHINGTON DC

Date:	May 26, 2004	Must Be Sent By:	ASAP
To:	OFFICIAL FAX CITE	Fax No:	(703) 872-9306
Company:	PTO	Phone No:	
To:	Examiner Donels	Fax No:	(571) 273-2061
Company:	PTO	Phone No:	
From:	Stuart B. Chinn	Phone No:	(213) 488-7584
User No:	13440	C/M No:	051270-0245649

Comments:

CONFIRMATION COPY OF INFORMATION DISCLOSURE STATEMENT
AND CITED REFERENCES BEING SENT OUT TOMORROW, MAY 27, 2004,
VIA EXPRESS MAIL.

Confidentiality Note:

The documents accompanying this facsimile transmission may contain confidential information which is legally privileged. The information is intended only for the use of the individual or entity named above. If you are not the intended recipient, or the person responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of any of the information contained in this transmission is strictly PROHIBITED. If you have received this transmission in error, please immediately notify us by telephone and mail the original transmission to us. Thank you.

If you have not properly received this fax, please call (213) 488-7577. Thank you.

Operator: _____ Time Sent: _____ Batch ID: _____

20501682V1

S.N. 09/634,215 File No. 5120-245649 Date Mailed 4-26-04 By: RRW/SBC/ahTitle: TIME-AXIS COMPRESSION/EXPANSION METHOD AND APP...(Client Name) Yamaha Corporation

The Following, due _____ in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:

☐ Amendment
☐ Preliminary Amendment
☐ PCT Application Including
Pages Spec. _____ Page Abstract _____ Claims
☐ Application for Patent Including
Pages Spec. _____ Page Abstract _____ Claims
☐ Declaration, Affidavit of Oath (____ Page(s))
☐ Assign; Ch. No. _____ for \$ _____
☐ Verified Statement
☐ Letter of Transmittal
☐ Maintenance Fee Transmittal
☒ Check No. 808391 For \$ 180.00
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
☒ Form PTO-1449

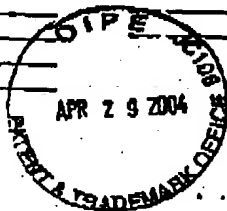
Drawings: # of Sheets _____
____ Formal _____ Informal
☐ Issue Fee Transmittal
☐ Letter Re _____
☐ Notice of Appeal
☐ Petition for _____
☐ Advance soft copy order
☐ Ch. No. _____ for \$ _____
☐ Certified Copy: # _____ of Docs.
☒ IDS: 12 References

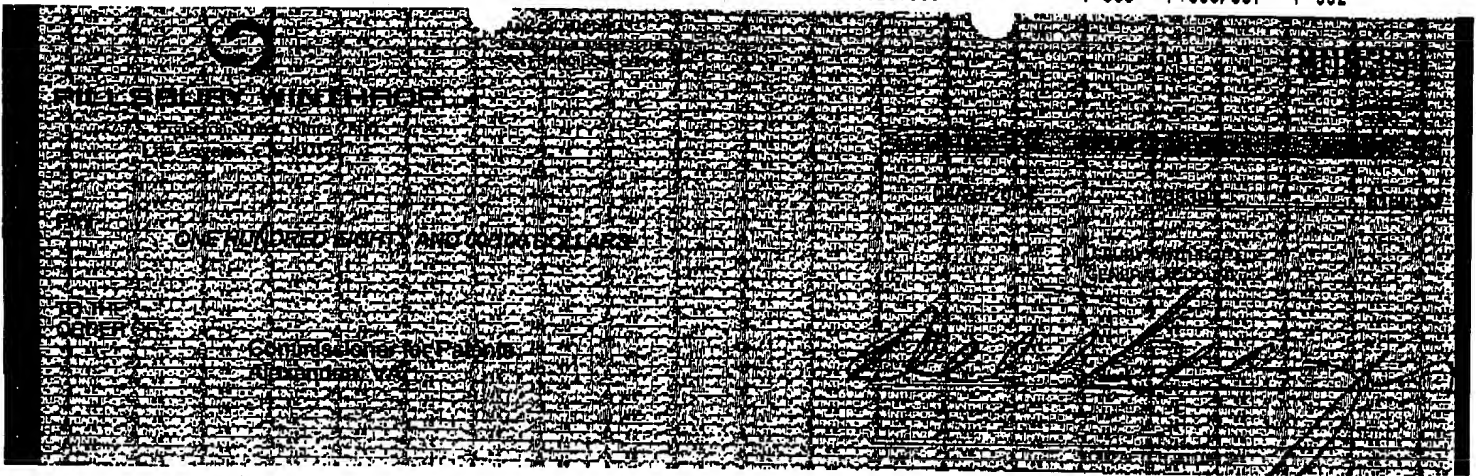
S.N. 09/634,215 File No. 5120-245649 Date Mailed 4-26-04 By: RRW/SBC/ahTitle: TIME-AXIS COMPRESSION/EXPANSION METHOD AND APP...(Client Name) Yamaha Corporation

The Following, due _____ in the U.S. Patent & Trademark Office was received in the Patent & Trademark Office on the date stamped hereon:

☒ Amendment
☐ Preliminary Amendment
☐ PCT Application Including
Pages Spec. _____ Page Abstract _____ Claims
☐ Application for Patent Including
Pages Spec. _____ Page Abstract _____ Claims
☐ Declaration, Affidavit of Oath (____ Page(s))
☐ Assign; Ch. No. _____ for \$ _____
☐ Verified Statement
☐ Letter of Transmittal
☐ Maintenance Fee Transmittal
☒ Check No. 808391 For \$ 180.00
☐ Check No. _____ For \$ _____
☐ Check No. _____ For \$ _____
☒ Form PTO-1449

Drawings: # of Sheets _____
____ Formal _____ Informal
☐ Issue Fee Transmittal
☐ Letter Re _____
☐ Notice of Appeal
☐ Petition for _____
☐ Advance soft copy order
☐ Ch. No. _____ for \$ _____
☐ Certified Copy: # _____ of Docs.
☒ IDS: 12 References





808391 121000358 14993-51222

VENDOR NO.		CHECK NO.	CHECK DATE	PILLSBURY WINTHROP LLP GENERAL ACCOUNT		
008151		808391	04/26/2004	Commissioner for Patents		
INVOICE NO.	INVOICE DATE	COMMENTS		INVOICE AMOUNT	DISCOUNT	NET
051271-0245649/IDS	04/26/2004	IDS fee		180.00		180.00
Totals:				\$180.00		\$180.00
TOTALS						

VENDOR NO.		CHECK NO.	CHECK DATE	PILLSBURY WINTHROP LLP GENERAL ACCOUNT		
008151		808391	04/26/2004	Commissioner for Patents		
INVOICE NO.	INVOICE DATE	COMMENTS		INVOICE AMOUNT	DISCOUNT	NET
051271-0245649/IDS	04/26/2004	IDS fee		180.00		180.00
Totals:				\$180.00		\$180.00
TOTALS						

OFFICIAL

**RECEIVED
CENTRAL FAX CENTER**

MAY 26 2004

**PATENT
51270-245649**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: KAZUNOBU KONDO, et al. Serial No.: 09/634,215 Filed: August 9, 2000 For: TIME-AXIS COMPRESSION/EXPANSION METHOD AND APPARATUS FOR MULTITRACK SIGNALS	Group No.: 2837 Examiner: Jeffrey Donels
---	---

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

1. ☐ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☒ The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:
 - (1) a final action under §1.113 or
 - (2) a notice of allowance under §1.311, whichever occurs first.

CERTIFICATION OR FEE

A. Included with this transmittal is:

20496587V1

- i. ☐ a certification (set forth below) in accordance with 37 C.F.R. §1.97(e). (If for any reason the certificate set forth below should be unsatisfactory, the Commissioner is provisionally authorized to charge the \$240 fee (37 C.F.R. §1.17(p)) to Deposit Account No. 16-1805. A copy of this sheet is enclosed.)

OR

- ii. ☒ the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00).

3. ☐ The information disclosure statement transmitted herewith is being filed *after* a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

CERTIFICATION, PETITION AND FEE

- A. In accordance with the requirements of 37 C.F.R. §1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).
- ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).
- iii. Applicant submits the petition fee set forth in §1.17(i)(1). (\$180.00).

CERTIFICATION

(Required if 2Ai or 3 above is marked)

4. I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. ☒ If it should be determined that for any reason either an insufficient or an excessive fee has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 16-1805. A copy of this petition is enclosed.

Respectfully submitted,

PILLSBURY WINTHROP LLP

Dated: April 26, 2004

By: 

Roger R. Wise
Registration No. 31,204
Attorney for Applicant(s)

725 South Figueroa Street, Suite 2800
Los Angeles, CA 90017-5406
Telephone: (213) 488-7100
Facsimile: (213) 629-1033

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Hon. Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on April 26, 2004.

By: 

Roger R. Wise, Reg. No. 31,204

20496587V1

FORM PTO-1449 (modified)
To: U.S. Department of Commerce
(PW FORM PAT-1449)
Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date: April 21, 2004

Page 1 of 1

Atty.
Dkt. No.

Mkt.

Client Ref.

245649

51270

Applicant: KAZUNOBU KONDO, et al.

Appln. No.: 09/634,215

Filing Date: August 9, 2000

Examiner: Jeffrey Donels

Group Art Unit: 2837

U.S. PATENT DOCUMENTS

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR 5,749,064	05/98	Pawate, et al.	704	213	
	BR 5,842,172	11/98	Wilson	704	503	
	CR 5,845,247	12/98	Miyasaka	704	267	
	DR 6,049,766	04/00	Laroche	704	216	
	ER 6,232,540	05/01	Kondo	84	612	
	FR 6,484,137	11/02	Taniguchi, et al.	704	211	
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

FOREIGN PATENT DOCUMENTS

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract	Translation Readily Available
					Enclosed	No
	OR 1093795	4-12-89	Japan	Tsugi Toru, et al.	Yes	
	PR 5273964	10-22-93	Japan	Komatsu Shigeaki	Yes	
	QR 6175663	6-24-94	Japan	Adachi Atsushi	Yes	
	RR 9034448	2-7-97	Japan	Shishido Ichiro	Yes	
	SR 9062257	3-7-97	Japan	Adachi Atsushi	Yes	
	TR 10282963	10-23-98	Japan	Katayama Hiroshi	Yes	
	UR					
	VR					
	WR					
	XR					

OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	YR					
	ZR					
	AAR					
	BBR					
	CCR					
	DDR					

Examiner

Date Considered:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.